

FACSIMILE COVER SHEET

Date: July 22, 2002
To: John Dill c/o
United States Patent and Trademark Office
Fax No: 703-746-6606
From: Joe Hetz
Tel. No: 312-321-4719
Client No: 8285

No. of Pages
(inc. this page): 15

Confirmation Copy To Follow: Yes ☐ No ☒

IF YOU HAVE ANY PROBLEMS RECEIVING THIS MESSAGE,
PLEASE CALL 312-321-4200 AND ASK FOR: Joe Hetz

THIS MESSAGE IS INTENDED ONLY FOR THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED. IT MAY CONTAIN PRIVILEGED, CONFIDENTIAL, ATTORNEY WORK PRODUCT, OR TRADE SECRET INFORMATION WHICH IS EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAWS. IF YOU ARE NOT THE INTENDED RECIPIENT, OR AN EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS MESSAGE IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE (AND ALL COPIES) TO US BY MAIL AT THE ABOVE ADDRESS. WE WILL REIMBURSE YOU FOR POSTAGE.

COVER MESSAGE:

Re: Application No. 10/038,048

**BRINKS
HOFFER
GILSON
& LIONE**

A Professional Corporation
Intellectual Property Attorneys

NBC Tower - Suite 3600
455 N. Cityfront Plaza Drive
Chicago, Illinois 60611-5599
Facsimile 312-321-4289
Telephone 312-321-4200

San Jose, CA
Indianapolis, IN
Ann Arbor, MI
Arlington, VA

TRANSMITTAL LETTER			Case No. 8285/470
Serial No. 10/038,048	Filing Date December 20, 2001	Examiner Not Yet Assigned	Group Art Unit 2131
Inventor(s) THOMAS LEE ADAMS			
Title of Invention METHOD AND SYSTEM FOR CALLING LINE AUTHENTICATED KEY DISTRIBUTION			

TO THE COMMISSIONER FOR PATENTS

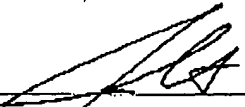
Transmitted herewith is Notice to File Missing Parts of Nonprovisional Application (1 page); Response to Notice to File Missing Parts of Nonprovisional Application (2 pages in duplicate); Fully executed Patent Application Declaration Combined with Power of Attorney (3 pages); Assignment (2 pages); Assignment Recordal Cover Sheet (1 page) Check- \$40.00 for Assignment Recordation Fee; Information Disclosure Statement (2 pages); Form PTO-1449 (1 page); 1 Other Art Reference; Postcard Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☐ The fee has been calculated as shown below:

					Small Entity		Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9 =		x \$18 =	\$
Indep.		Minus			x 42 =		x \$84 =	
First Presentation of Multiple Dep. Claim					+ \$140 =		+ \$280 =	
					Total add'l fee	\$	total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$1,254.00 to cover the filing fee of \$740.00, the surcharge fee of \$130.00 and the additional claims fee of \$384.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


 Joseph F. Hetz
 Registration No. 41,070
 Attorney for Applicant

BRINKS HOFER GILSON & LIONE
 P.O. BOX 10395
 CHICAGO, ILLINOIS 60610
 (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on March 19, 2002.

Date: March 10, 2002

Signature:

rev. Jan-97

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I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231
on March 19, 2002

Date of Deposit

Joseph F. Hetz - Reg. No. 41,070

Name of applicant, assignee or
Registered Representative

Signature

3/19/02
Date of Signature

Case No. 8285/470

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

THOMAS LEE ADAMS

Serial No.: 10/038,048

Examiner: Not Yet Assigned

Filed: December 20, 2001

Group Art Unit: 2131

For: METHOD AND SYSTEM FOR
CALLING LINE AUTHENTICATED
KEY DISTRIBUTION

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

Commissioner for Patents
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated February 4, 2002, a copy of which is attached, enclosed herewith for filing are the following documents:

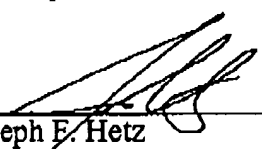
- ☒ Fully executed Patent Application Declaration combined with Power of Attorney (3 pages).



- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$1,254.00 for:
- ☒ Filing Fee of \$740.00.
- ☒ Surcharge of \$130.00.
- ☒ Additional Claim Fees of \$384.00.
- ☒ 1 Check totaling \$40.00 for:
- ☒ Assignment Recordation Fee of \$40.00.
- ☒ Other: Assignment (2 pages); Information Disclosure Statement (2 pages);
Form PTO-1449 (1 page); 1 Other Art Reference; Postcard Receipt.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: March 19, 2002



Joseph E. Hetz
Registration No. 41,070
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200

rev. Oct.-01
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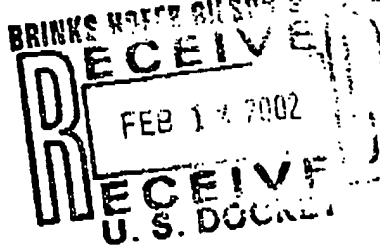


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/038,048	12/20/2001	Thomas Lee Adams	8285/470 (T00364)

BRINKS HOFER GILSON & LIONE
SUITE 3600
455 N. CITYFRONT PLAZA DR.
CHICAGO, IL 60611



CONFIRMATION NO. 5776

FORMALITIES LETTER



Date Mailed: 02/04/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$384.
 - \$216 for 12 total claims over 20.
 - \$168 for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1254.

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

